CalPERS Ethics Helpline Report Status Summary September 13, 2010 to November 15, 2010

#	Issue Reported	% of Total	Anonymous	Days Open**	—— Open	Status Pending	—— Closed
1.	Retirement Benefits- Fraud or Abuse	5%	Yes	8		•	
2.	Retirement Benefits- Fraud or Abuse Pension Spiking Municipality	5%	No	13			•
3.	Human Resources	5%	No	9			•
4.	Legal Issues	5%	No	4			•
5.	Accounting and Auditing Matters	5%	Yes	21		•	
6.	Retirement Benefits- Fraud or Abuse Pension Spiking	5%	Yes	20		•	
7.	Retirement Benefits- Fraud or Abuse Pension Spiking	5%	Yes	17		•	
8.	Retirement Benefits- Fraud or Abuse Pension Spiking	5%	Yes	14		•	

^{*}Percentage rounded up to the nearest whole number.

White Rows were received after October 15, 2010. Gray Rows were received on or before October 15, 2010.

Open reports are in review with the Office of Enterprise Compliance. **Pending** reports have been distributed to the CalPERS divisions. **Closed** reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

^{**}Calculated from first day reported to Ethics Point to date of report.

CalPERS Ethics Helpline Report Status Summary September 13, 2010 to November 15, 2010

#	Issue Reported	% of Total	Anonymous	Days Open**	—— Open	Status Pending	—— Closed
9.	Legal Issues	5%	Yes	12		•	
10.	Retirement Benefits- Fraud or Abuse Unreported Death CalPERS Member	5%	No	9			•
11.	Retirement Benefits- Fraud or Abuse Pension Spiking Educational Institution	5%	Yes	42		•	
12.	Legal Issues Misuse of State Property	5%	Yes	19			•
13.	Abuse of Authority – CalPERS	5%	Yes	34		•	
14.	Abuse of Authority - CalPERS	5%	Yes	64		•	
15.	Data Privacy - CalPERS	5%	No	19			•
16.	Fraud- Theft of Property Public Agency	5%	No	7			•

^{*}Percentage rounded up to the nearest whole number.

White Rows were received after October 15, 2010. **Gray Rows** were received on or before October 15, 2010.

Open reports are in review with the Office of Enterprise Compliance. **Pending** reports have been distributed to the CalPERS divisions. **Closed** reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

^{**}Calculated from first day reported to Ethics Point to date of report.

CalPERS Ethics Helpline Report Status Summary September 13, 2010 to November 15, 2010

#	Issue Reported	% of Total	Anonymous	Days Open**	—— Open	Status Pending	—— Closed
17.	Retirement Benefits- Fraud or Abuse Legal Public Agency	5%	No	29			•
18.	Retirement Benefits- Fraud or Abuse Members Fire Department	5%	Yes	54		•	
19.	Retirement Benefits - Fraud or Abuse Pension Spiking Municipality	5%	Yes	53		•	
20.	Improper Supplier or Contractor Activity- CalPERS	5%	Yes	50		•	
21.	Business Relationships with Clients/Vendors	5%	Yes	42		•	
	Total Reports: 21 Averages:	100%	67%	26	0	13	8

^{*}Percentage rounded up to the nearest whole number.

White Rows were received after October 15, 2010. **Gray Rows** were received on or before October 15, 2010.

Open reports are in review with the Office of Enterprise Compliance. **Pending** reports have been distributed to the CalPERS divisions. **Closed** reports were resolved or closed due to insufficient information, immateriality, or referred to outside agencies.

^{**}Calculated from first day reported to Ethics Point to date of report.